

A Course on
Cutting-Edge Comparative Study of International Financial Service Laws

Lecturer: Dr Bian Jing

Credits: 3

Total of Direct Contact Teaching Hours: 36 hrs

Weekly Teaching Hours: 6 hrs

Pre-request for attending the Course: elementary legal knowledge and adequate level of English

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MAIN AIMS OF THE COURSE

This course or module is a optional course for the Masters of Economics, Finance and Management Studies of Peking University HSBC Business School Oxford Campus. It is jointly held with the Executive Development Programs of Peking University HSBC Business School UK Campus.

It investigates a series of cutting-edge and forward- looking topics in banking, securities and other commercial transaction related financial regulation. It offers a critical analysis on the laws and regulations which regulate the modern financial markets and institutions, and a comprehensive understanding of how the law and regulations could be developed and improved in response to rapid changes in the financial industry. The module delivers a practical review with a focus on introducing the legal system and law in regulating financial markets by an unique approach in each week; namely, a one-hour introductory lecture by the Module Leader, followed by a two-hour seminar which gives deep analysis of the topics by the Guest Lecturer, and a further one-hour student seminar.

LEARNING OUTCOMES FOR THE COURSE

This course, by studying the topics which are of vital interest to students, will contribute to the future career development of them. In particular, the module provides opportunities for students to acquire in-depth knowledge and understanding, qualities, skills and other attributes in the following areas:

(A) Knowledge and Understanding

1. The nature, principles, and functions of financial services law and its impacts and applications.
2. A comprehensive understanding of the regulatory practice in the major financial systems.
3. A good knowledge of the core regulations and requirements in financial services industry from the international perspective.
4. A range of cutting-edge and forward-looking issues of financial services law.

B Cognitive (thinking) Skills

4. Identify, define, analyse and evaluate problems arising from financial industry.
5. Critically evaluate different business cases or scenarios for effective application and compliance of financial law
6. To be able to foresee future development of financial law and regulation.

C Other Skills and Attributes (Practical/Professional/Transferable)

7. Graphical representation skills.
8. Time management and planning.
9. Report drafting from the legal and regulatory perspectives.

MAIN TOPICS OF STUDY:

- The Concept and Nature of Banking
- Governing Banking
- Regulatory Regime of Financial System: UK Perspective
- Regulatory Regime of Financial System: International Perspective
- Securities Regulation: UK Perspective
- Securities Regulation: International Perspective
- Anti Money Laundering and Counter Terrorist Finance
- Insolvency Law at Domestic and Cross-Border Dimensions
- Regulation of Financial Innovation

TEACHING AND LEARNING METHODS/STRATEGIES USED TO ENABLE THE ACHIEVEMENT OF LEARNING OUTCOMES: these might include lectures, seminars, tutorials, practicals, workshops, laboratories, distance learning, projects or other methods (please specify).

This module is structured as following:

One-hour introductory lecture on the topic (by Dr. Jing Bian) per week

A two-hour seminar (deep analysis of the topic by the Guest Lecturer) per week

One hour student seminar per week

Private time of study 15 hours

Proposed Guest Lecturers (subject to confirmation and availability):

Prof. John Armour, Hogan Lovells Professor Professor of Law and Finance at Oxford University

Professor Charles Goodhart, Director of the Financial Regulation Research Programme, LSE

Professor Eilís Ferran, Professor of Company & Securities Law at the University of Cambridge

Professor Gishan Dissanaïke, Adam Smith Professorship of Corporate Governance at the

University, Director of the MPhil in Finance
 Professor Rodrigo Olivares-Caminal , Professor in Banking and Finance Law, Queen Mary University of London
 Professor Brian Cheffins, S J Berwin Professor of Corporate Law at Cambridge University
 Professor Rosa Maria Lastra, Professor of International Financial and Monetary Law, Queen Mary University of London
 Prof. Hse-Yu (Iris) Chiu, Professor of Corporate Law and Financial Regulation, UCL
 Dr Philipp Paech, Director of the LSE's Law and Financial Markets Project, LSE
 Professor Julia Black, Pro Director for Research at LSE

There may be some practitioners; however, this is subject to their public engagements requirements from their organizations.

ASSESSMENT METHODS WHICH ENABLE STUDENT TO DEMONSTRATE THE LEARNING OUTCOMES FOR THE MODULE (please provide the length/duration of each assessment listed):	WEIGHTING:
Coursework Assessment: Essay with 2000 words	30 %
3 hours unseen-paper examination	70 %

INDICATIVE READING LIST:

1 ESSENTIAL READING

There is no single text book for this module; selected reading, law and policy documents will be provided. However, the following titles can be useful references.

Cohn, S., Securities Counselling for Small and Emerging Companies (2016-2017 Edition), Thomson Reuters.

Ellinger, E. P., Lomnika, E., and Hare, C., Ellinger's Modern Banking Law (5th ed.), Oxford: Oxford University Press, 2010.

Gullifer, L. and Payne, J., Corporate Finance Law: Principles and Policy (2nd ed.), Hart Publishing, 2015.

2. OTHER

Financial Times or the business section of a quality newspaper
 Journal of Business Law; The New Law Journal; Journal of Money Laundering Control; Journal of Financial Regulation and Compliance.